



FEE TRANSMISSION for FY 2005

Effective 10/01/2004. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

\$200.00

Complete If Known	
Application Number	10/681,276
Filing Date	October 9, 2003
First Named Inventor	Takahiko KAWAHARA
Examiner Name	Cornelius H. JACKSON
Art Unit	2828
Attorney Docket No.	33035 M 133

METHOD OF PAYMENT (check all that apply)

Check Credit card Money Other None
 Order

Deposit Account:

Deposit
Account
Number

02-4300

Deposit
Account
Name

SMITH, GAMBRELL & RUSSELL, LLP

The Director is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) or any underpayment of fee(s)
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee Code	Fee (\$)	Fee (\$)
1001	2001	395	Utility filing fee
1002	2002	175	Design filing fee
1003	2003	275	Plant filing fee
1004	2004	395	Reissue filing fee
1005	2005	80	Provisional filing fee
SUBTOTAL (1)		- 0 -	

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	18	- 20 **	= 0	X \$50	= 0	Fee from below	Fee Paid
Independent Claims	4	- 3 **	= 1	X \$200	= \$200.		
Multiple Dependent				X	= 0		

Large Entity	Small Entity	Fee Description
Fee Code	Fee Code	Fee (\$)
1202	2202	9
1201	2201	44
1203	2203	150
1204	2204	44
1205	2205	9
SUBTOTAL (2)		\$200.00

**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee Code	Fee (\$)	Fee (\$)
1051	2051	65	Surcharge - late filing fee or oath
1052	2052	25	Surcharge - late provisional filing fee or cover sheet
1053	1053	130	Non-English specification
1812	1812	2,520	For filing a request for ex parte reexamination
1804	1804	920*	Requesting publication of SIR prior to Examiner action
1805	1805	1,840*	Requesting publication of SIR after Examiner action
1251	2251	55	Extension for reply within first month
1252	2252	215	Extension for reply within second month
1253	2253	490	Extension for reply within third month
1254	2254	765	Extension for reply within fourth month
1255	2255	1,040	Extension for reply within fifth month
1401	2401	170	Notice of Appeal
1402	2402	170	Filing a brief in support of an appeal
1403	2403	150	Request for oral hearing
1451	1451	1,510	Petition to institute a public use proceeding
1452	2452	55	Petition to revive – unavoidable
1453	2453	685	Petition to revive – unintentional
1501	2501	685	Utility issue fee (or reissue)
1502	2502	245	Design issue fee
1503	2503	330	Plant issue fee
1460	1460	130	Petitions to the Commissioner
1807	1807	50	Processing fee under 37 CFR 1.17 (q)
1806	1806	180	Submission of Information Disclosure Stmt
8021	8021	40	Recording each patent assignment per property (times number of properties)
1809	2809	395	Filing a submission after final rejection (37 CFR § 1.129(a))
1810	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))
1801	2801	395	Request for Continued Examination (RCE)
1802	1802	900	Request for expedited examination of a design application
Other fee (specify) _____			
*Reduced by Basic Filing Fee Paid			
SUBTOTAL (3)		(\$) - 0 -	

**or number previously paid, if greater; For Reissues, see above

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Michael A. Makuch	Registration No. (Attorney/Agent)	32,263
Signature			
Date	June 27, 2005		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
235500

PATENT

Attorney Docket
33035M133



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CONFIRMATION NO. 5971

In re the application of:)
Takahiko Kawahara, et al.)
Serial No.: 10/681,276) Art Unit : 2828
Filed : October 9, 2003) Examiner : C. H. Jackson
For SEMICONDUCTOR OPTICAL DEVICE, SEMICONDUCTOR LASER
DEVICE, SEMICONDUCTOR OPTICAL MODULATION DEVICE, AND
SEMICONDUCTOR OPTICAL INTEGRATED DEVICE

AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

A reply to the Office Action filed March 29, 2005 is due by June 29, 2005.

Therefore, please accept this Amendment as timely filed. Submitted herewith is a check in payment of one additional independent claim over a total of three (\$200).

In response to the Office Action filed March 29, 2005, kindly amend the above-identified patent application as follows.

06/28/2005 MBEYENE1 00000064 10681276

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